Extended Random Walker-Based Classification of Hyperspectral Images

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Abstract—This paper introduces a novel spectral–spatial classification method for hyperspectral images based on extended random walkers (ERWs), which consists of two main steps. First, a widely used pixelwise classifier, i.e., the support vector machine (SVM), is adopted to obtain classification probability maps for a hyperspectral image, which reflect the probabilities that each hyperspectral pixel belongs to different classes. Then, the obtained pixelwise probability maps are optimized with the ERW algorithm that encodes the spatial information of the hyperspectral image in a weighted graph. Specifically, the class of a test pixel is determined based on three factors, i.e., the pixelwise statistics information learned by a SVM classifier, the spatial correlation among adjacent pixels modeled by the weights of graph edges, and the connectedness between the training and test samples modeled by random walkers. Since the three factors are all well considered in the ERW-based global optimization framework, the proposed method shows very good classification performances for three widely used real hyperspectral data sets even when the number of training samples is relatively small.

Index Terms—Extended random walkers (ERWs), graph, hyperspectral image, optimization, spectral–spatial image classification.

I. INTRODUCTION

HYPERSPECTRAL image classification gives a high-level understanding of remotely sensed scenes and is therefore now widely used in different application domains such as environment monitoring [1], precision agriculture [2], and national defense [3]. However, because of the special characteristics of hyperspectral data sets, image classification in the hyperspectral domain still has many unresolved problems [4].

For instance, the high dimensionality of hyperspectral data sets involves the “Hughes” phenomenon in classification [5]. The “Hughes” phenomenon refers to the fact that, if the number of training samples is fixed, the classification accuracy may decrease significantly for some supervised classification methods as the data dimensionality increases beyond a certain number of features. In order to deal with this difficulty, several solutions have been developed such as feature extraction [6]–[8] and discriminative learning [9], [10]. Feature extraction methods such as principal component analysis (PCA) [7], independent component analysis [6], and linear discriminant analysis [8], [11], [12] project the high-dimensional data into a low dimensional feature space while preserving the discriminative information of different classes. Furthermore, discriminative learning approaches such as support vector machines (SVMs) [9], multinomial logistic regression [10], and artificial immune networks [13] learn the class distributions in high-dimensional spaces by inferring the nonlinear boundaries between classes in feature space. These methods can effectively tackle the aforementioned difficulties caused by high dimensionality.

In addition to research on how to overcome the “Hughes” phenomenon, another active research topic for hyperspectral image classification is how to make full use of the spatial information of the data in order to further improve the classification accuracy [14]. To achieve this objective, intensive work has been performed in the last decade to develop spectral–spatial hyperspectral image classification methods. For example, spatial feature extraction methods [15]–[21] have been proposed to define an adaptive neighborhood for each pixel by local filtering operations so that the adaptive local neighborhood information could be preserved in the resulting features for classification. Furthermore, an optimal set of the resulting spatial features can be selected to further improve the performance of feature extraction [22].

In addition to spatial feature extraction, image segmentation is a widely used technique for spectral–spatial image classification. Specifically, segmentation-based methods perform the decision fusion of image segmentation and pixelwise classification to make full use of the spatial information of hyperspectral images. For this kind of methods, the automatic segmentation of hyperspectral images is a challenging task, and thus, many different hyperspectral image segmentation methods have been proposed such as watershed [23], partitional clustering [24], hierarchical segmentation [25], and stochastic minimum spanning forest [26], [27].

In recent years, other types of spectral–spatial methods such as nonlocal joint collaborative representation [28], spatial kernel-based methods [29], [30], and probabilistic modeling-based methods [10], [31]–[34] have also been successfully applied for hyperspectral image classification. For instance, probabilistic modeling-based methods first estimate the
probability of each pixel belonging to a specified class using a pixelwise classifier such as SVM. Then, the pixelwise probabilities are optimized with local or global optimization algorithms which consider the spatial information of the image. Finally, the classification result is obtained by assigning each pixel with the label which gives the maximum probability.

In this paper, a novel probabilistic modeling-based spectral–spatial classification method is proposed. The extended random walker (ERW) [35] algorithm is used for the spectral–spatial classification of hyperspectral images, which consists of the following steps: First, pixelwise SVM classification is used to obtain a set of probability maps which measure the probability that a pixel belongs to a particular class. Then, the ERW algorithm is adopted to calculate a set of optimized probabilities in order for the class of each pixel to be determined based on the maximum probability. In the ERW-based optimization framework, the pixelwise spectral information, the spatial information between adjacent pixels, and the distance information between training and test pixels are combined. Therefore, the proposed method can produce classification results with much higher accuracies than those obtained by the SVM method, even when the number of training samples is relatively small.

The rest of this paper is organized as follows. The ERW algorithm is described in Section II. The proposed ERW-based classification method is introduced in Section III. Experimental results are given in Section IV. Finally, conclusions are given in Section V.

II. ERWs

The random walker (RW) algorithm was originally developed for image segmentation and has been successfully applied in a wide variety of image processing applications [36], [37]. Specifically, the algorithm models an image as a weighted graph \( G = (V, E) \) with vertices \( v \in V \) and edges \( e \in E \), in which vertices refer to the pixels in the image and edges refer to the links connecting two adjacent pixels. Each edge \( e_{ij} \) connecting the \( i \)th and \( j \)th pixels has a weight \( \omega(e_{ij}) = e^{-\beta(v_i-v_j)^2} \) which defines the intensity difference between the two pixels. Here, \( \beta \) is a free parameter. Based on the weighted graph representation, the RW segmentation algorithm consists of the following steps: First, a set of marked pixels \( V_M \) is given, in which each pixel \( v_i \in V_M \) has been assigned a label \( n \) from the set \( L = \{1, \ldots, N\} \). Second, with the marked pixels, the RW algorithm computes the probability \( p_{in} \) that an RW starting its walk from an unlabeled pixel \( i \) first reaches a pixel belonging to \( V_M \) with label \( n \) in \( L \). Finally, the remaining unlabeled pixels \( V_U \) are assigned labels selected from \( L \) which gives the greatest probabilities.

Based on the deep connection between RWs and electronic networks [37], instead of performing a random walk simulation for each pixel, the probability \( p_{in} \) that an RW starting its walk from a pixel \( i \) first reaches a labeled pixel can be directly calculated by minimizing the following energy function:

\[
E_{\text{spatial}}^n(p_n) = p_n^T L p_n
\]

where \( L \) represents the Laplacian matrix of the graph

\[
L_{ij} = \begin{cases} 
    d_i & \text{if } i = j \\
    -\omega(e_{ij}) & \text{if } i \text{ and } j \text{ are adjacent pixels} \\
    0 & \text{otherwise}
\end{cases}
\]

In this matrix, \( d_i = \sum_e \omega(e_{ij}) \) refers to the degree of the \( i \)th pixel which is the sum of all weighed edges connecting to \( v_i \). With labeled pixels \( V_M \), the energy function shown in (1) has an analytical solution which can be calculated by solving a system of linear equations. The detailed solution can be found in [37]. However, one property of RWs is that this algorithm will not work if \( V_M \) is empty. More importantly, the spectral information of the hyperspectral image pixels cannot be appropriately considered in the RW-based segmentation framework. Therefore, the RW algorithm cannot be directly applied for probabilistic modeling-based classification. To solve this problem, this paper adopts an improved version of the original RW algorithm, i.e., the ERWs [35]. Specifically, aside from the spatial function shown in (1), another aspatial energy function is given as follows:

\[
E_{\text{aspatial}}^n(p_n) = \sum_{q=1, q \neq n}^{N} p_n^T A_q p_q + (p_n - 1)^T A_n (p_n - 1)
\]

where \( A_n \) is a diagonal matrix where the values on the diagonal measure the initial probability (priors) \( r_{in} \) for each pixel \( v_i \). In [35], the initial probability is estimated according to the density distribution of pixel values (intensities) for image segmentation. Thus, the spatial and aspatial energy functions can be combined as follows:

\[
E^n(p_n) = E_{\text{spatial}}^n(p_n) + \gamma E_{\text{aspatial}}^n(p_n)
\]

where \( \gamma \) is a free parameter. Similar to the solution of (1), the deep connection between RWs and electronic networks ensures that the probabilities \( p_n \) can be estimated by simply solving a system of linear equations. The detailed solution can be found in [35]. Once the optimized probabilities are known, the last step of ERW-based segmentation is to assign each unlabeled pixel \( v_i \in V_U \) with the label \( n \in L \) which gives the greatest probability.

III. PROPOSED APPROACH

Fig. 1 shows the schematic of the proposed ERW-based spectral–spatial hyperspectral image classification method which consists of two main steps: First, SVM is adopted to estimate the initial probability maps which measure the probabilities that each pixel of a hyperspectral image belongs to different classes. Second, the probabilities are refined with the ERW to determine the class of each pixel.

A. Initial Probability Estimation With SVM

Let \( L = \{1, \ldots, N\} \) be a set of labels, and let \( n \in L \) refer to the \( n \)th class. Furthermore, let \( S \equiv \{1, \ldots, i\} \) be the set of the pixels of the hyperspectral image, and let \( x = (x_1, \ldots, x_i) \in \mathbb{R}^V \) be the vector of the pixel values at location \( v_i \in V \)
The combination of spatial information with a pixelwise classification approach is not new in the literature. Energy-based spectral–spatial classification methods model the spatial information by defining a spatial energy term and perform minimization on the total energy, e.g., using the widely used Markov random field (MRF) method. However, the conventional MRF is based on the assumption that the adjacent pixels (four or eight neighbors) should have the same class labels as the central pixel. Furthermore, in the optimization process, each pixel uses the same weighting coefficient for its spatial term regardless if it is within a homogeneous region or on an object boundary. As a result, although classification accuracy is improved in homogeneous regions, pixels at class boundaries may be wrongly classified [39]. In contrast to the MRF method, the ERW method assumes that only these adjacent pixels that have similar intensity values should have the same class labels as the central pixel. In other words, pixels on the same side of an edge will tend to have similar labels because these pixels tend to have similar pixel intensities. Therefore, the ERW method not only improves the accuracy in homogeneous regions but also ensures that the optimized probabilities align well with real object boundaries.

For example, Fig. 2(e)–(g) shows three optimized probability maps corresponding to three different landscapes, respectively. From the figures, it can be seen that the optimized probabilities have two properties: First, errors in homogeneous regions can be effectively removed. Second, optimized probabilities are usually aligned well with real object boundaries. Fig. 2(h) shows the corresponding classification map obtained by labeling each pixel with the class which gives the highest probability. It can be seen that the accuracy of SVM can be effectively improved for those pixels in homogeneous regions and those near class boundaries. Furthermore, the comparison between Fig. 2(d) and (h) shows that some objects can be classified more accurately after the optimization. The reason is that, aside from the spatial correlation among adjacent pixels, the connectedness between training and test samples is also considered in the ERW-based method.
IV. EXPERIMENTS

A. Data Sets

In this paper, the performance of different classification methods is evaluated using three hyperspectral data sets. The first hyperspectral image was acquired with the Reflective Optics System Imaging Spectrometer (ROSIS-03) optical sensor which provides 115 bands with a spectral coverage ranging from 0.43 to 0.86 $\mu$m. The other two images were acquired by the Airborne Visible/Infrared Imaging Spectrometer sensor with a spectral coverage ranging from 0.4 to 2.5 $\mu$m. The three data sets are detailed as follows.

1) University of Pavia Data Set: The University of Pavia test set is of the campus at the University of Pavia, Pavia, Italy. It is of size $610 \times 340$ pixels. The spatial resolution is 1.3 m per pixel. Before classification, 12 bands were removed due to noise. Fig. 3 shows the three-band false color image and the reference data of the University of Pavia data set, in which nine classes of interest are considered: trees, asphalt, bitumen, gravel, metal sheets, shadow, bricks, meadows, and bare soil. Furthermore, Fig. 3 shows a manually selected training set which is publicly available and widely used in related publications [10], [14], [39], [40].

2) Salinas Data Set: The second test set is the Salinas image which was captured at Salinas Valley, California. This image contains 224 bands of size $512 \times 217$. Twenty water absorption bands were discarded before classification. Fig. 4 shows the three-band false color image and the reference data of the Salinas data set, in which the reference data contains 16 classes of interest, which represent mostly different types of crops.

3) Indian Pines Data Set: The third test set took place over the agricultural Indian Pine test site in Northwestern Indiana. It was $145 \times 145$ pixels. The spatial resolution is 20 m per pixel. Twelve channels were removed due to noise. The remaining 200 spectral channels were processed. A three-band false color image and the reference data which contains 16 classes of interest are presented in Fig. 5.

B. Parameter Settings

In the following experiments, the proposed method is compared to other widely used spectral–spatial classification methods, including the original SVM [9], extended morphological profiles (EMPs) [15], logistic regression via variable splitting and augmented Lagrangian-multilevel logistic (LORSAL-MLL) [10], maximizer of the posterior marginal by loopy belief propagation (MPM-LBP) in [40], edge-preserving filtering (EPF) [31], and image fusion and recursive filtering (IFRF) [20]. The SVM method is implemented using the functions provided by the library for support vector machines [38]. The parameters for SVM were selected using a fivefold cross-validation. The EMPs are constructed using the first four principal components and a circular structural element with a step size increment of two. Four openings and closings were computed for each principal component [14]. Furthermore, the LORSAL-MLL, MPM-LBP, EPF, and IFRF methods are respectively implemented using the default parameters given in [10], [20], [31], and [40].
Fig. 6. Influence of the parameters on classification accuracies with different values of \( \beta \) and \( \gamma \) (University of Pavia data set). (a) OA and (b) AA.

For the proposed ERW method, the influence of parameters \( \beta \) and \( \gamma \) is evaluated by objective and visual analysis. A publicly available training and test set which consists of 3921 training and 40002 test samples is adopted for the University of Pavia image (see Fig. 3). Fig. 6 shows the overall accuracy (OA) and the average accuracy (AA) of the proposed method when the two parameters \( \beta \) and \( \gamma \) vary. Furthermore, Fig. 7 shows the classification maps obtained by the SVM method and the proposed method with different values of \( \beta \) and \( \gamma \). From Fig. 6, it can be first seen that, if \( \beta \) is smaller than 30, the OA and AA of the ERW method may decrease significantly. Moreover, the first row of Fig. 7(b) also shows that the classification map becomes oversmoothed as \( \beta \) becomes too small. The reason is that the value of the graph weight \( \omega(e_{ij}) = e^{-\beta(v_i - v_j)^2} \) for adjacent pixels tends to be one when \( \beta \) is quite small. In this situation, the spatial correlation between adjacent pixels cannot be well modeled by the edge weights. Similarly, if \( \beta \) is quite large, the weight \( \omega(e) \) will be close to zero. In this situation, the parameter \( \gamma \) which controls the dynamic range of the aspatial function is also suggested to be relatively small in order to ensure the balance of the spatial and aspatial energy functions shown in (4). Otherwise, when \( \gamma \) is quite large, the aspatial function will play a major role in classification, and thus, the classification map looks quite similar to the classification map obtained by SVM [see the bottom row of Fig. 7(b), and compare it to Fig. 7(a)]. However, if \( \gamma \) is too small, the spatial effect may lead to the overcorrected classification maps shown in the bottom row of Fig. 7(d) and (e). Based on the aforementioned analysis in this paper, \( \beta = 710 \) and \( \gamma = 10^{-5} \) are set as the default parameters for the following experiments in order to ensure the balance of the spatial and aspatial energy functions shown in (4).

C. Experiments With the University of Pavia Data Set

In the first experiment, the proposed ERW algorithm is compared with other probabilistic modeling-based spectral–spatial classification methods, including the EPF [31], LORSAL-MLL [10], MPM-LBP [40], and SVM-MRF methods [39] methods. These methods are all based on the optimization of pixelwise classification results in order to improve the classification accuracy. Table I shows the OA, AA, and Kappa coefficient (Kappa) of the different methods, which are three widely used quality metrics for hyperspectral image classification [4], [14]. In this table, the results of the LORSAL-MLL, MPM-LBP, and SVM-MRF methods reported in the table are taken from [10], [40], and [39], respectively, where exactly the same training and test sets were used to produce the results and, thus, a fair comparison of these methods can be done. From Table I, it can be observed that

<table>
<thead>
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<th>Methods</th>
<th>OA</th>
<th>AA</th>
<th>Kappa</th>
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</thead>
<tbody>
<tr>
<td>SVM</td>
<td>85.99</td>
<td>88.28</td>
<td>76.16</td>
</tr>
<tr>
<td>LORSAL</td>
<td>80.11</td>
<td>87.70</td>
<td>75.09</td>
</tr>
<tr>
<td>SMMRF-NE</td>
<td>86.89</td>
<td>92.12</td>
<td>83.14</td>
</tr>
<tr>
<td>SMMRF-E</td>
<td>87.63</td>
<td>93.41</td>
<td>84.07</td>
</tr>
<tr>
<td>LORSAL-MLL</td>
<td>85.57</td>
<td>92.54</td>
<td>81.80</td>
</tr>
<tr>
<td>MPM-LBP</td>
<td>85.78</td>
<td>92.20</td>
<td>82.05</td>
</tr>
<tr>
<td>EPF</td>
<td>87.83</td>
<td>89.24</td>
<td>84.26</td>
</tr>
<tr>
<td>ERW</td>
<td>95.97</td>
<td>95.06</td>
<td>94.35</td>
</tr>
<tr>
<td>LORSAL-ERW</td>
<td>96.60</td>
<td>96.28</td>
<td>95.40</td>
</tr>
</tbody>
</table>
all spectral–spatial based algorithms exhibit better performance than SVM in terms of accuracies, indicating the importance of including spatial information in the probabilistic framework. Furthermore, the proposed ERW method provides accuracies which are comparable to those obtained by other probabilistic modeling-based spectral–spatial methods.

In the second experiment, the proposed method is used to improve the accuracies of other types of pixelwise classifiers such as the logistic regression via variable splitting and augmented Lagrangian (LORSAL) classifier [10]. Specifically, instead of estimating the initial probability with the SVM, the LORSAL method can also be adopted for the estimation of initial probability $r$ in Section III-A. Fig. 8 shows the classification results obtained by the SVM, LORSAL, ERW, and LORSAL-ERW methods, respectively. It can be seen that both the ERW and LORSAL-ERW methods are able to improve the performance.
of pixelwise classification. Table I shows that the LORSAL-ERW is able to obtain OA = 96.60%, AA = 96.28%, and Kappa = 95.40% which are even higher than those obtained by the ERW for this example.

Finally, the computing time of the ERW-based optimization is also evaluated using MATLAB on a computer with 3.5-GHz CPU and 8-GB memory. It is found that the ERW-based optimization method is relatively fast, taking about 1.9 s for processing the University of Pavia image. The reason is that, although a global energy function should be solved for the proposed method, the function presented in (4) actually has a closed form analytic solution which can be easily calculated. Therefore, it is possible to conclude that the proposed ERW method is indeed a good candidate for spectral–spatial hyperspectral image classification due to its advantage in improving classification accuracy and its computing efficiency.

**D. Experiments With the Salinas Data Set**

An experiment is performed on the Salinas image which contains 16 different classes. Fig. 9(a) shows the labeled 48 samples used for training (each class is trained with only three labeled samples). Fig. 9(b)–(i) shows the classification results obtained by different methods, including the SVM, LORSAL, EMP, LORSAL-MLL, MPM-LBP, EPF, and IFRF methods. It can be seen that, compared with other widely used spectral–spatial methods, the classification map obtained by the ERW method looks more similar to the reference data shown in Fig. 4(b). Furthermore, Table II records the individual classification accuracies, OA, AA, and Kappa of different methods. It can be seen that the proposed method gives the highest classification accuracies for most classes. Moreover, the proposed method also shows the best performance in terms of OA = 98.00%, AA = 96.32%, and Kappa = 97.77%. This experiment demonstrates that the ERW method works much better than traditional spectral–spatial methods in terms of accuracies, particularly when the number of training samples is limited.

**Fig. 10.** Classification results (Indian Pines image) obtained by (a) SVM method, (b) LORSAL method, (c) EMP method, (d) LORSAL-MLL method, (e) MPM-LBP method, (f) EPF method, (g) IFRF method, and (h) ERW method. Numbers in the parentheses refer to the overall classification accuracies given in percent: (a) SVM (81.3), (b) LORSAL (81.7), (c) EMP (93.6), (d) LORSAL-MLL (92.1), (e) MPM-LBP (93.1), (f) EPF (93.2), (g) IFRF (96.4), (h) ERW (98.7).
E. Experiments With the Indian Pines Data Set

In this section, an experiment is performed on the Indian Pines image. Fig. 10 shows the classification results obtained by different methods when the number of training samples is 10% of 10249 reference samples (see Fig. 5). From this figure, it can be seen that the proposed method can effectively remove the noise in the SVM classification result. Furthermore, the ERW method also gives the highest OA = 98.7%. In order to objectively evaluate the performance of different methods, the experiment presented in Fig. 10 has been repeated ten times to estimate the mean and standard variance of OA, AA, and Kappa. Experiments are also performed with different numbers of training samples (1%–30% of the reference data). As shown in Table III, the ERW method always outperforms other methods and even more significantly when there is a smaller number of labeled samples used for training. For example, when the number of training samples is 1% of the reference, the overall classification accuracy obtained by SVM is 52.76%. In this situation, the proposed method can still dramatically increase the accuracies of SVM. Specifically, the OA, AA, and Kappa obtained by ERW are 79.53%, 80.63%, and 76.65% which are very close to those obtained by SVM (OA = 80.86%, AA = 78.68%, and Kappa = 78.18%) when the number of training samples is 10% of the reference samples.

V. CONCLUSION

In this paper, a novel spectral–spatial image classification method based on ERWs is introduced. Through integrating spatial information in the ERW-based probabilistic optimization framework, the accuracy of SVM can be improved dramatically. Experiments performed on three widely used real hyperspectral data sets demonstrate the outstanding performance of the proposed ERW method. Compared with other spectral–spatial classification methods, the major advantage of the proposed ERW method is that it is able to obtain high classification accuracies when the number of training samples is very small. Furthermore, since the energy function defined by ERW has a closed form analytic solution which can easily be determined, the method is relatively efficient computationally. Thus, the proposed method will be quite useful in real applications due to its high accuracy and low computational burden. Since the ERW method works well for classification with a small number of training samples, a topic of future research is to investigate whether the ERW method can be integrated into a semisupervised learning-based classification framework. Furthermore, the use of the ERW method in other remote sensing applications will also be further investigated.

ACKNOWLEDGMENT

The authors would like to thank the Editor-in-Chief, the anonymous Associate Editor, and the reviewers for their insightful comments and suggestions which have greatly improved this paper.

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